

REPORT DOCUMENTATION PAGE			Form Approved OMB NO. 0704-0188	
<small>Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comment regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.</small>				
1. AGENCY USE ONLY (Leave blank)		2. REPORT DATE July 28, 1997		3. REPORT TYPE AND DATES COVERED Final
4. TITLE AND SUBTITLE Light Emission and Energy Transfer in Nanoscale Semiconductor Photonic Devices			5. FUNDING NUMBERS DAAH04-93-G-0254	
6. AUTHOR(S) Dr. Robert M. Kolbas				
7. PERFORMING ORGANIZATION NAMES(S) AND ADDRESS(ES) North Carolina State University Department of Electrical and Computer Engineering Raleigh, NC 27695-7911			8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U.S. Army Research Office P.O. Box 12211 Research Triangle Park, NC 27709-2211			10. SPONSORING / MONITORING AGENCY REPORT NUMBER ARO 31958-21-EL-SDI	
11. SUPPLEMENTARY NOTES The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision, unless so designated by other documentation.				
12a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release; distribution unlimited.			12 b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) The overall objective of this experimental program is to control the light emission properties and energy transfer mechanisms in nanoscale semiconductor structures in order to realize new or improved photonic devices. For nanostructures that are defined by buried heterojunction interfaces the focus is to define the regimes in which scattering and carrier collection dominate the performance of quantum well and superlattice devices. For nanostructures with exposed surfaces the focus is to understand the fundamental light emission mechanisms. The proposed research impacts device development and system architectures by demonstrating light emitters for wavelength division multiplexing, three dimensional IOEC structures, broadly tunable lasers, and low loss waveguides. Most recently the impact of these phenomena have been studied in the wide bandgap AlGaIn material system. We have demonstrated stimulated emission in GaN, InGaIn thin films and quantum well heterostructures. We have also done absorption measurements and observed multiple excitons.				
14. SUBJECT TERMS semiconductors, stimulated emission, lasers, light emitting diodes, wide bandgap, quantum well, GaN, AlGaIn, InGaIn, GaAs, nanostructures			15. NUMBER OF PAGES 9	
			16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT UNCLASSIFIED	18. SECURITY CLASSIFICATION OF THIS PAGE UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED	20. LIMITATION OF ABSTRACT UL	

Light Emission and Energy Transfer in Nanoscale Semiconductor Photonic Devices

FINAL PROGRESS REPORT

Dr. Robert M. Kolbas

July 28, 1997

U. S. Army Research Office

DAAH 04-93-G-0254
Proposal Number: 31958-EL-SDI

North Carolina State University

**APPROVED FOR PUBLIC RELEASE;
DISTRIBUTION UNLIMITED.**

THE VIEWS, OPINIONS, AND/OR FINDINGS CONTAINED IN THIS REPORT ARE THOSE OF THE AUTHOR(S) AND SHOULD NOT BE CONSTRUED AS AN OFFICIAL DEPARTMENT OF THE ARMY POSITION, POLICY, OR DECISION, UNLESS SO DESIGNATED BY OTHER DOCUMENTATION.

DTIC QUALITY INSPECTED 8

19970902 130

FINAL PROGRESS REPORT

1. ARO PROPOSAL NUMBER: 31958-EL-SDI
2. PERIOD COVERED BY REPORT: 1 July 1993 -14 March 1997
3. TITLE OF PROPOSAL:
**Light Emission and Energy Transfer in
Nanoscale Semiconductor Photonic Devices**
4. CONTRACT OR GRANT NUMBER: DAAH04-93-G-0254
5. NAME OF INSTITUTION: North Carolina State University
6. AUTHORS OF REPORT: Robert M. Kolbas
7. LIST OF MANUSCRIPTS SUBMITTED OR PUBLISHED UNDER
ARO SPONSORSHIP DURING THIS REPORTING PERIOD,
INCLUDING JOURNAL REFERENCES:
 1. "Monolithically Integrated SQW Laser and HBT Laser Driver Via Selective OMVPE Regrowth," D. B. Slater, Jr., P. M. Enquist, J. A. Hutchby, F. E. Reed, A. S. Morris, R. M. Kolbas, R. J. Trew, A. S. Lujan and J. W. Swart, Photonics Technology Letters 5, No. 7, pp. 791-794 (7 July 1993).
 2. "Optoelectronic Properties of GaN, AlGaN, and AlGaN-GaN Quantum Well Heterostructures," R. M. Kolbas and S. Krishnankutty, LEOS 1993 Summer Topical Meeting Digest on Visible Semiconductor Lasers, July 21-22, 1993, Santa Barbara, CA (IEEE Catalog Number 93TH0549-6, Library of Congress Number 93-77778).
 3. "Two Terminal Bias Induced Dual Wavelength Semiconductor Light Emitter," D. Zhang, F. E. Reed, T. Zhang, N. V. Edwards and R. M. Kolbas, Appl. Phys. Lett. 63, No. 24, pp. 3367-3369, (13 Dec. 1993).
 4. "Light Emission from Crystalline Silicon and Amorphous Silicon Oxide (SiO_x) Nanoparticles," D. Zhang, R. M. Kolbas, P. D. Milewski, D. J. Lichtenwalner, P. Mehta and A. I. Kingon, IEEE Journal of Electronic Materials, Vol. 23, No. 1, pp. 57-62, 1994. Also, presented as "Visible Light Emission from Silicon and Oxygen-Doped Silicon Nanoparticles," D. Zhang, R. M. Kolbas, P. D. Milewski, P. Mehta, D. J. Lichtenwalner and A. I. Kingon, 1993 Electronic Materials Conference.

5. "Three-Terminal Bias Induced Dual Wavelength Semiconductor Light Emitter," F. E. Reed, D. Zhang, T. Zhang, R. M. Kolbas, Appl. Phys. Lett. 65, No. 5, pp. 570-572 (1 Aug. 1994).
6. "Light Emission from Thermally Oxidized Silicon Nanoparticles," D. Zhang, P. D. Milewski, D. J. Lichtenwalner, R. M. Kolbas, A. I. Kingon and J. M. Zavada, Appl. Phys. Lett. 65, No. 21, pp. 2684-2686, (21 Nov. 1994).
7. "Light Emission from Silicon Nanoparticles: Mechanisms and Applications", D. Zhang and R. M. Kolbas, Presented at the ICSICT '95 International Conference on Solid State and Integrated Circuit Technology, Oct. 24-28, 1995, Beijing, China. Paper published in *Proceedings of the Fourth International Conference on Solid-State and Integrated-Circuit Technology* (Beijing, China), G. L. Baldwin, Z. Li, C. C. Tsai and J. Zhang, Eds., pp. 54-56, 1995.
8. "Bias Induced Color-Tuned Semiconductor Devices," R. M. Kolbas, F. E. Reed, and D. Zhang, Presented at the ICSICT '95 International Conference on Solid State and Integrated Circuit Technology, Oct. 24-28, 1995, Beijing, China. Paper published in *Proceedings of the Fourth International Conference on Solid-State and Integrated-Circuit Technology*, G. L. Baldwin, Z. Li, C. C. Tsai and J. Zhang, Eds., pp. 151-153, 1995.
9. "Investigation of In-situ Doping Effect on GaN Epitaxial Growth in a Mass Production Scale Multi-Wafer-Rotating-Disc Reactor," C. Yuan, T. Salagaj, A. Gurary, A. G. Thompson, C. S. Chern, W. Kroll, R. A. Stall, C.-Y. Hwang, M. Schurman, Y. Li, W. E. Mayo, Y. Yu, S. Krishnankutty, I. K. Shmagin, R. M. Kolbas and S. J. Pearton, J. Vac. Science Tech. B, Vol. 13, p. 2075, Sept./Oct. 1995.
10. "P-Type GaN Epitaxial Growth on c-Sapphire Substrates in a Production Scale Multi-Wafer Rotating Disc MOCVD Reactor," C. Yuan, T. Salagaj, A. Gurary, P. Zawadzki, C. S. Chern, W. Kroll, R. A. Stall, C.-Y. Hwang, Y. Li, M. Schurman, W. E. Mayo, Y. Yu, S. J. Pearton, S. Krishnankutty, and R. M. Kolbas, presented at the 1995 Electronic Materials Conference, Charlottesville, VA 1995, and published in J. Electrochem. Soc. Vol. 142, p. L163, Sept. 1995.

11. "Effect of Shroud Flow on High Quality $\text{In}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$ Double Heterojunction deposition in a Production Scale Multi-Wafer-Rotating-Disc MOCVD Reactor," C. Yuan, T. Salagaj, R. A. Stall, M. Schurman, C. Y. Hwang, Y. Li, W. E. Mayo, Y. Lu, S. Krishnankutty and R. M. Kolbas, submitted to J. Electronic Materials.
12. "Optical Absorption and Stimulated Emission from Ultrathin Single Quantum Wells," D. Zhang and R. M. Kolbas, Solid State Communications, Vol. 98, No. 7, pp. 645-649, 1996.
13. "Strained-Induced Phase Separation in Annealed Low-Temperature Grown $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$," K. C. Hsieh, K. Y. Hsieh, Y. L. Hwang, T. Zhang and R. M. Kolbas, Appl. Phys. Lett. Vol. 68 (13), pp. 1790-1792 (25 March 1996).
14. "Photoluminescence Characteristics of $\text{GaN}/\text{InGaN}/\text{GaN}$ Quantum Wells," I. K. Shmagin, J. F. Muth, R. M. Kolbas, S. Krishnankutty, S. Keller, A. C. Abare, L. A. Coldren, U. K. Mishra and S. P. DenBaars, J. Electronic Materials, Vol. 26, No. 3, pp. 325-329, (March 15, 1997).
15. "Observation of Lasing from Photopumped InGaN/GaN Heterostructures in an Edge Emitting Configuration," I. K. Shmagin, J. F. Muth, R. M. Kolbas, S. Krishnankutty, S. Keller, U. K. Mishra, and S. P. DenBaars, J. Applied Phys. Vol. 81, No. 4, pp. 2021-2023, (15 February 1997).
16. "Quantum Well Heterostructure Lasers," R. M. Kolbas in *Properties of Gallium Arsenide, Third Edition*, Edited by M. R. Brozel and G. E. Stillman, Datareviews Series No. 16, pp. 887-905, (INSPEC 1996), ISBN 0 85296 885 X.
17. "Stimulated Emission and Gain Measurements from InGaN/GaN Heterostructures", I. K. Shmagin, J. F. Muth, R. M. Kolbas, S. Krishnankutty, S. Keller, U. K. Mishra, and S. P. DenBaars, Materials Research Society Meeting, Boston, MA, Dec. 2-7, 1996; Materials Research Society Symp. Proc. Vol. 499, *III-V Nitrides*, F. A. Ponce, T. D. Moustakas, I. Akasaki, B. A. Monemar, Editors, pp. 1209-1214 (Proceedings of Material Research Society, Pittsburgh, PA 1997).

18. "Photoluminescence from Mechanically Milled Si and SiO₂ Powders", T. D. Shen, I. K. Shmagin, C. C. Koch, R. M. Kolbas, Y. Fahmy, L. Bergman, R. J. Nemanich, M. T. McClure, Z. Sitar, and M. X. Quan, Phys. Rev. B Vol. 55, No. 12, pp. 7615-7623 (15 March 1997).
19. "Growth of Bulk AlN and GaN Single Crystals by Sublimation", C. M. Balkas, Z. Sitar, T. Zheleva, L. Bergman, I. K. Shmagin, J. F. Muth, R. M. Kolbas, R. Nemanich, and R. F. Davis, Materials Research Society Meeting, Boston, MA, Dec. 2-7, 1996; Materials Research Society Symp. Proc. Vol. 499, *III-V Nitrides*, F. A. Ponce, T. D. Moustakas, I. Akasaki, B. A. Monemar, Editors, pp. 41-46 (Proceedings of Material Research Society, Pittsburgh, PA 1997).
20. "Growth of Bulk InGaN films and Quantum Wells by Atmospheric Pressure Metalorganic Chemical Vapour Deposition," S. Keller, B. P. Keller, D. Kapolnek, U. K. Mishra, S. P. DenBaars, I. K. Shmagin, R. M. Kolbas and S. Krishnankutty, J. Crystal Growth Vol. 170, pp. 349-352 (1997).
21. "Optical Metastability in Bulk GaN Single Crystals", I. K. Shmagin, J. F. Muth, J. H. Lee, R. M. Kolbas, C. M. Balkas, Z. Sitar and R. F. Davis, to be published in Applied Physics Letters.
22. "Absorption Coefficient, Energy Gap, Exciton Binding Energy and Recombination Lifetime of GaN Obtained from Transition Measurements," J. F. Muth, J. H. Lee, I. K. Shmagin, R. M. Kolbas, H. C. Casey, Jr., B. P. Keller, U. K. Mishra and S. P. DenBaars, to be published in Applied Physics Letters.
23. "Optical Data Storage in InGaN/GaN Heterostructures," I. K. Shmagin, J. F. Muth, R. M. Kolbas, R. D. Dupuis, P. A. Grudowski, C. J. Eiting, J. Park, B. S. Shelton and D. J. H. Lambert, to be published in Applied Physics Letters.
24. "Reconfigurable Optical Properties in InGaN/GaN Quantum Wells," I. K. Shmagin, J. F. Muth, R. M. Kolbas, M. P. Mack, A. C. Abare, S. Keller, L. A. Coldren, U. K. Mishra and S. P. DenBaars, submitted to Applied Physics Letters.

Conference Presentations

1. "Investigation of High Quality P-Type GaN and InGaN from Multi-Wafer-Rotating-Disc MOCVD Reactor," C. Yuan, T. Salagaj, A. Gurary, A. G. Thompson, C. S. Chern, W. Kroll, R. A. Stall, C.-Y. Hwang, M. Schurman, Y. Li, W. E. Mayo, Y. Yu, S. Krishnankutty, R. M. Kolbas and S. J. Pearton, presented at the Spring Meeting of the 1995 Materials Research Society, San Francisco, California.
2. "The Role of Buffer Layers in the Deposition of Extremely High Quality Single Crystal GaN over Sapphire Substrates," J. N. Kuznia, M. A. Khan, D. T. Olson, J. M. Van Hove, S. Krishnankutty, and R. M. Kolbas, Electronic Materials Conference, Boston, Massachusetts (1992).
3. "Investigation of High Quality P-Type GaN and InGaN from Multi-Wafer-Rotating-Disc MOCVD Reactor," C. Yuan, T. Salagaj, A. Gurary, A. G. Thompson, C. S. Chern, W. Kroll, R. A. Stall, C.-Y. Hwang, M. Schurman, Y. Li, W. E. Mayo, Y. Yu, S. Krishnankutty, R. M. Kolbas and S. J. Pearton, Materials Research Society, Spring Symposium, San Francisco, California, 1995.
4. "Investigation of the Photoluminescence Characteristics of Mg:GaN and Zn:InGaN", S. Krishnankutty, I. K. Shmagin, R. M. Kolbas, C. Yuan, T. Salagaj, A. Gurary, R. A. Stall, M. Schurman, Y. Li, W. E. Mayo, Y. Lu and J. M. Zavada, Topical Workshop on III-V Nitrides (TWN'95), Nagoya, Japan, Sept. 21-23, 1995.
5. "Photoluminescence Characteristics of GaN-InGaN-GaN Quantum Wells," I. K. Shmagin, J. Muth, R. M. Kolbas, S. Krishnankutty, S. Keller, B. Keller, U. K. Mishra and S. DenBaars, 38th Electronic Materials Conference, Santa Barbara, CA, June 26-28, 1996.
6. "Growth of Bulk InGaN Films and Quantum Wells by Atmospheric Pressure Metalorganic Chemical Vapor Deposition," S. Keller, B. Keller, U. K. Mishra, S. DenBaars, I. K. Shmagin, R. M. Kolbas and S. Krishnankutty, to be presented at the MOCVD Conference in Cardiff, Wales, UK, June 1996, and manuscript submitted to the MOCVD Conference Proceedings, 1996.

7. "High Quality P-type GaN and InGaN Epitaxial Growth on c-Sapphire Substrates in a Production Scale Multi-Wafer-Rotating Disc MOCVD Reactor," C. Yuan, T. Salagaj, A. Gurary, A. G. Thompson, W. Kroll, R. A. Stall, M. Schurman, C. Y. Hwang, Y. Li, Y. Lu, W. E. Mayo, S. Krishnankutty, R. M. Kolbas and S. J. Pearton, Invited talk at the meeting of the ElectroChemical Society, Chicago (Oct. 1995)
8. "Selective Deposition of Strongly Luminescent Eu-doped Yt_2O_3 Nanoparticles," P. D. Milewski, S. K. Strieffer, A. I. Kingon, I. K. Shmagin, R. M. Kolbas and S. Krishnankutty, 2nd International Conference on the Science and Technology of Display Phosphors, San Diego, Ca, Nov. 18-20, 1996; also submitted to Proceedings of the Science and Technology of Display Phosphors.
9. "Investigation of the Optical Properties of InGaN/AlGaIn QW Structures Emitting in the Blue and Green Spectrum," V. A. Joshkin, M. A. Aumer, J. C. Roberts, F. G. McIntosh, S. M. Bedair, S. Krishnankutty, I. K. Shmagin, R. M. Kolbas, S. Lin and L. Wang, Materials Research Society Fall Meeting, Boston, MA, December 2-7 (1996).
10. "Determination of Refractive Index and Absorption Coefficient of Gallium Nitride from Optical Transmission, Reflectance and Photoluminescence", J. F. Muth, I. K. Shmagin, R. M. Kolbas, S. Krishnankutty, S. Keller, U. K. Mishra, and S. P. DenBaars, Materials Research Society Fall Meeting, Boston, MA, December 2-7 (1996).
11. "Growth of Bulk AlN and GaN Single Crystals by Sublimation", C. M. Balkas, Z. Sitar, T. Zheleva, L. Bergman, I. K. Shmagin, J. F. Muth, R. M. Kolbas, R. Nemanich, and R. F. Davis, Materials Research Society Meeting, Boston, MA, Dec. 2-7, 1996.
12. "Absorption Coefficient, Exciton Binding Energy and Bandgap of GaN," J. F. Muth, I. K. Shmagin, R. M. Kolbas, H. C. Casey, Jr., and S. P. DenBaars, Workshop on Wide Band Gap Semiconductors: Defects and Fundamental Parameters, January 15-16, 1997, Raleigh, NC.
13. "Stimulated Emission and Gain Measurements from InGaN/GaN Heterostructures", I. K. Shmagin, J. F. Muth, R. M. Kolbas, S.

Krishnankutty, S. Keller, U. K. Mishra, and S. P. DenBaars,
Materials Research Society Fall Meeting, December 2-7 (1996)

14. "Absorption Coefficient, Exciton Binding Energy and Band Gap of GaN", J. F. Muth, I. K. Shmagin, R. M. Kolbas, H. C. Casey, Jr., S. P. DenBaars, Army Research Office Workshop on Wide Band Gap Semiconductors: Defects and Fundamental Parameters, Research Triangle Park, NC, January 15-16 1997.
15. "MOCVD Growth of Group-III Nitrides for High Quality Photonic and Electronic Devices", S. P. DenBaars, P. Kozodoy, S. Keller, Y. F. Wu, A. Ambare, M. Mack, M. Minsky, E. Hu, J. S. Speck, L. A. Coldren, U. K. Mishra, I. K. Shmagin, J. F. Muth, and R. M. Kolbas, Invited Paper presented at the Army Research Office Workshop on Wide Band Gap Semiconductors: Defects and Fundamental Parameters, Research Triangle Park, NC, January 15-16 1997.
16. "Optical Properties of High Quality Bulk GaN Single Crystals," I. K. Shmagin, J. F. Muth, R. M. Kolbas, C. M. Balkas, Z. Sitar, and R. F. Davis, accepted at the 39th Electronic Materials Conference, June 1997.
17. "Absorption Coefficient, Excitonic Structure and Band Gap of Gallium Nitride at Room Temperature Using Optical Transmission Measurements," J. F. Muth, I. K. Shmagin, R. M. Kolbas, H. C. Casey, Jr., P. Fini, S. Keller, S. P. DenBaars, accepted at the 39th Electronic Materials Conference, June 1997.
18. "Optical and Structural Characterization of InGaN Quantum-Well Heterostructures Grown by MOCVD," P. A. Grudowski, C. J. Eiting, J. Park, B. S. Shelton, D. J. H. Lambert, R. D. Dupuis, I. K. Shmagin, J. F. Muth and R. M. Kolbas, submitted to the ISCS-24.
19. "Optical Properties of InGaN Double Heterostructures and Quantum Wells Grown by Metal Organic Chemical Vapor Deposition," R. D. Dupuis, P. A. Grudowski, C. J. Eiting, J. Park, B. S. Shelton, D. J. H. Lambert, I. K. Shmagin and R. M. Kolbas, submitted to 1997 IEEE/LEOS Summer Topical Meeting.

8. SCIENTIFIC PERSONNEL SUPPORTED BY THIS PROJECT AND DEGREES AWARDED DURING THIS REPORTING PERIOD:

Frederick Reed (Ph.D. 1997)

Dahua Zhang (Ph.D. 1993)

Irina Shmagin (Ph.D. expected Fall '97)

John Muth

Dr. Robert Kolbas (PI)

9. REPORT OF INVENTIONS (BY TITLE ONLY):

"Bias Induced Color-tuned Semiconductor Light Emitter", R. M. Kolbas, D. Zhang, U. S. patent (disclosure, July 9, 1992, NCSU file # 92-77).

BRIEF OUTLINE OF RESEARCH FINDINGS

The overall objective of this experimental program is to control the light emission properties and energy transfer mechanisms in nanoscale semiconductor structures in order to realize new or improved photonic devices. For nanostructures that are defined by buried heterojunction interfaces the focus is to define the regimes in which scattering and carrier collection dominate the performance of quantum well and superlattice devices. For nanostructures with exposed surfaces the focus is to understand the fundamental light emission mechanisms. The proposed research impacts device development and system architectures by demonstrating light emitters for wavelength division multiplexing, three dimensional IOEC structures, broadly tunable lasers, and low loss waveguides. Most recently the impact of these phenomena have been studied in the wide bandgap AlGaIn material system. We have demonstrated stimulated emission in GaN, InGaIn thin films and quantum well heterostructures. We have also done absorption measurements and observed multiple excitons.

Major advances resulting from this program include:

- The first demonstration of a three terminal semiconductor light emitter where the optical output intensity is controlled by the voltage applied to one of the terminals and the color is controlled by the voltage applied to the other terminal. (Bias Induced Color-Tuned Emitter, BICE)
- Demonstration of optical memory effects in InGaIn that has potential applications for optical memory storage and optical signal processing.